



NMLS Policy Guidebook Changes – July 30, 2018

- Page 70: Language was corrected to say that a branch manager is not required for surrender but is required for any active branch license held.
- Page 76: Language was added, “When uploading a document with a change include a cover sheet or make note in the comment box describing what change has occurred.”
- Page 117: Language was added to remind applicants that states may still have additional reporting requirements outside of NMLS and that they should continue to review the state’s checklist.
- Pages 120 and 125: Bond creation forms – The term “Obligee” was replaced with the term “Regulator.”
- Page 121: SRR deleted the word “overview” from the section header and added the word “flow.” The header now reads “Surety Company Account Creation Process Flow”